

7217/65186

#6/a
PRE
J.D.
8-27-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Kazunobu Kubota
Serial No.: 09/920,133
Filed : August 1, 2001
For : APPARATUS FOR AND METHOD OF PROCESSING AUDIO SIGNAL
Group A.U.: 2644

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class mail addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

Jay H. Maioli
Reg. No. 27,213

Date
October 30, 2001

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Technology Center 2600

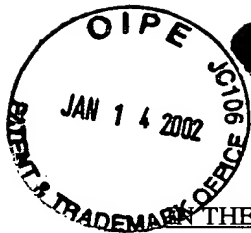
October 30, 2001
1185 Avenue of the Americas
New York, NY 10036
(212) 278-0400

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to the initial examination of the above-identified application, Applicant respectfully requests that the application be amended as follows.



2644

7217/65186

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kazunobu Kubota
Serial No. : 09/920,133
Filed : August 1, 2001
For : APPARATUS FOR AND METHOD OF PROCESSING AUDIO SIGNAL
Group A.U. : 2644
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Assistant Commissioner for Patents
Washington, D.C. 20231

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Sir:

Transmitted herewith is a Preliminary Amendment in the above-identified application.

☒ No fee is required.

☐ The fee has been calculated as shown below.

☐ Total claims in excess of 20 previously paid for, at \$18 (\$9)

☐ Independent claims in excess of 3 previously paid for, at \$80 (\$40)

☐ Additional Fee for this Amendment

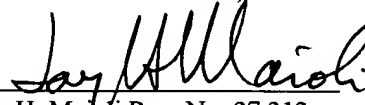
☐ This response is being filed within the first month, second month, third month, fourth month, fifth month following the expiration of the term originally set therefor. Applicants Petition for an extension, and the fee of \$110 (\$55), \$400 (\$200), \$920 (\$460), \$1,440 (\$720), \$1,960 (\$980) is due and paid herewith.

☐ The fee of \$ set by 37 C.F.R § 1.17(p) for the Information Disclosure Statement is due and paid herewith.

☐ A check in the amount of \$ is attached.

☒ Please charge any additional fees or credit any overpayment to Deposit Account No. 03-3125.

COOPER & DUNHAM, LLP


Jay H. Maipoli Reg. No. 27,213
COOPER & DUNHAM, LLP
1185 Avenue of the Americas
New York, NY 10036
Telephone (212) 278-0400